# O 13: Electronic Structure Theory: New Concepts and Developments in Density Functional Theory and Beyond - II

Time: Monday 15:00–18:15

Invited Talk O 13.1 Mon 15:00 GER 38 Towards efficient orbital-dependent density functionals for weak and strong correlation — •IGOR YING ZHANG<sup>1</sup>, PATRICK RINKE<sup>1,2</sup>, JOHN P. PERDEW<sup>3</sup>, and MATTHIAS SCHEFFLER<sup>1</sup> — <sup>1</sup>Fritz-Haber-Institut, Berlin, Germany — <sup>2</sup>Aalto University, Finland — <sup>3</sup>Temple University, USA

We present a new paradigm for the design of exchange-correlation functionals in density-functional theory [1]. Electron pairs are correlated explicitly by means of the recently developed second order Bethe-Goldstone equation (BGE2) approach [2]. Here we propose a screened BGE2 (sBGE2) variant that efficiently regulates the coupling of a given electron pair. sBGE2 correctly dissociates  $H_2$  and  $H_2^+$ , a problem that has been regarded as a great challenge in density-functional theory for a long time [3]. The sBGE2 functional is then taken as a building block for an orbital-dependent functional, termed ZRPS, which is a natural extension of the PBE0 hybrid functional. While worsening the good performance of sBGE2 in  $H_2$  and  $H_2^+$ , ZRPS yields a remarkable and consistent improvement over other density functionals across various chemical environments from weak to strong correlation. [1] IY Zhang et al., Phys. Rev. Lett. 117, 133002 (2016); [2] IY Zhang et al., New J. Phys. 18 073026 (2016); [3] AJ Cohen et al., Chem. Rev. 112 289 (2011).

## O 13.2 Mon 15:30 GER 38

Towards a functional for strong correlation via semiclassical model for the indirect energy and local interpolation along the adiabatic connection — •STEFAN VUCKOVIC and PAOLA GORI-GIORGI — Department of Theoretical Chemistry and Amsterdam Center for Multiscale Modeling, FEW, Vrije Universiteit, De Boelelaan 1083, 1081HV Amsterdam, The Netherlands

Finding an approximate XC functional that is able to capture strong correlation effects is a big, unsolved DFT challenge. Even a bigger challenge is to find a functional able to treat any correlation regime successfully. We attempt to construct an XC functional that has no bias towards a particular correlation regime by using a local interpolation along the adiabatic connection between the weak and the strong coupling limit of DFT. [1] In addition to this approach, I will also present our semiclassical model for accurate indirect energies. I will discuss how this model can be used for a construction of XC functionals, exploiting its XC energy density in the conventional gauge, the one of the electrostatic potential of the XC hole.

1. Stefan Vuckovic, Tom J. P. Irons, Andreas Savin, Andrew M. Teale, and Paola Gori-Giorgi, Journal of Chemical Theory and Computation 2016, 12 (6), 2598-2610

#### O 13.3 Mon 15:45 GER 38

Benchmark of GW approaches for the GW100 test set — •PATRICK RINKE<sup>1</sup>, MATTHIAS DAUTH<sup>2</sup>, FABIO CARUSO<sup>3</sup>, and MICHIEL VAN SETTEN<sup>4</sup> — <sup>1</sup>COMP Centre of Excellence, Aalto University, Finland — <sup>2</sup>University of Bayreuth, Germany — <sup>3</sup>University of Oxford, England — <sup>4</sup>Université Catholique de Louvain, Belgium

Many-body theory in the GW approach has become the method of choice for calculating charged excitations in solids. Now it is increasingly being applied to molecules, but fundamental questions regarding its modus operandi and its accuracy remain. To address both of these aspects, we present a comprehensive assessment of different GW methodologies for the recent GW100 test set [1] of molecular ionization energies [2]. We compare our GW calculations to coupledcluster singles, doubles, and perturbative triples [CCSD(T)] reference data for GW100. We find ionization energies of fully self-consistent GW and quasiparticle self-consistent GW in excellent agreement with CCSD(T), with discrepancies typically smaller than 0.3 eV and 0.2 eV, respectively. For partially self-consistent and perturbative GW the deviation from CCSD(T) is strongly dependent on the starting point. An optimal starting point is found by minimizing the deviation from the straight-line error [3], which concomitantly yields a systematic improvement of the ionization energies. [1] M. J. van Setten, P. Rinke, et al., J. Chem. Theory Comput. 11, 5665 (2015), [2] F. Caruso, M. Dauth, M. J. van Setten, and P. Rinke, J. Chem. Theory Comput. 12, 5076 (2016), [3] M. Dauth, F. Caruso, S. Kümmel, and P. Rinke, Phys. Rev. B 93, 121115(R) (2016).

Location: GER 38

O 13.4 Mon 16:00 GER 38

Addressing electron-hole correlation in core excitations of solids: A first-principles all-electron approach based on many-body perturbation theory — •CHRISTIAN VORWERK, CATERINA COCCHI, and CLAUDIA DRAXL — Institut für Physik, Humboldt-Universität zu Berlin, 12489 Berlin, Germany

In the framework of an all-electron implementation of many-body perturbation theory, we investigate K, L<sub>2,3</sub>, and M<sub>4</sub> absorption edges of three exemplary solids, spanning a broad range of transition energies from a few hundred to several thousands eV. We find that transitions from deep core states, such as the Ti 1s states in TiO<sub>2</sub> and the Pb 3d states in PbI<sub>2</sub>, are ruled by the long-range electron-hole attraction. Spin-orbit coupling and local fields play only a minor role for these excitations, which occur at several keV. The exchange interaction between the excited electron and the core hole becomes more relevant for smaller transition energies, as exemplified with the Ca  $L_{2,3}$  edge in CaO. The overlap between Ca 2p and 3d states calls for a careful treatment of local field effects in order to describe these excitations. Our results, in good agreement with the available experimental data, are thoughtfully analyzed with advanced visualization tools in order to further gain insight into the electronic contributions and the spatial extension of the resulting electron-hole pairs.

O 13.5 Mon 16:15 GER 38 Non-linear-screening contributions to photoemission spectra — •MARILENA TZAVALA<sup>1,2</sup>, CLAUDIA RÖDL<sup>1,2,3</sup>, and LUCIA REINING<sup>1,2</sup> — <sup>1</sup>Laboratoire des Solides Irradiés, École polytechnique, CNRS, CEA, Université Paris-Saclay, 91128 Palaiseau, France — <sup>2</sup>European Theoretical Spectroscopy Facility (ETSF) — <sup>3</sup>Institut für Festkörpertheorie und -optik, Friedrich-Schiller-Universität Jena, Max-Wien-Platz 1, 07743 Jena, Germany

The state-of-the-art approach to calculate photoemission spectra of a broad range of materials is many-body perturbation theory in the GW approximation, sometimes combined with a cumulant expansion. The effective interaction that appears in these approaches is screened within the linear-response approximation. However, the photoemission of a core electron or a localized valence electron may be a strong perturbation, which suggests that non-linear screening effects could be important. We propose a formulation of the functional relations between the one-body Green's function and the screened interaction which is an alternative to Hedin's equations and which explicitly displays non-linear screening. Using a simple model, we show that exchange-correlation contributions are crucial in order to capture the non-linear effects. We also discuss how to apply the scheme to real materials using time-dependent density-functional theory (TDDFT).

O 13.6 Mon 16:30 GER 38 **Dynamic LDA for electronic excitations** — •MARCO VANZINI<sup>1,2</sup>, MATTEO GATTI<sup>1,2,3</sup>, and LUCIA REINING<sup>1,2</sup> — <sup>1</sup>Laboratoire des Solides Irradiés, École Polytechnique, CNRS, CEA, Université Paris-Saclay, 91128 Palaiseau, France — <sup>2</sup>European Theoretical Spectroscopy Facility (ETSF) — <sup>3</sup>Synchrotron SOLEIL, L'Orme des Merisiers, BP 48 Saint-Aubin, 91192 Gif sur Yvette, France

Density Functional Theory is an extremely useful tool for dealing with ground state properties such as the density or total energy. Kohn– Sham eigenvalues are often considered as approximated electronic excitations, but the resulting spectra are poor.

We propose a generalization of the Kohn–Sham approach to address in an exact framework electron addition and removal spectra. They can be measured by photoemission experiments, and can be evaluated using a computationally expensive non–local Self Energy. Our method is instead based on a frequency–dependent *local* potential [1], which significantly reduces the computing time of an ab–initio calculation.

To find this spectral potential in practice, we propose a jellium– based *dynamical* local density approximation (dynLDA): it relates the unknown potential to its homogeneous counterpart, via a non-trivial connector in space and frequency, which is based on physical insight.

In this talk, I will discuss the achievements and the limits of dynLDA, using models and real solids.

[1] M. Gatti et al., Phys. Rev. Lett. 99, 057401 (2007).

### O 13.7 Mon 16:45 GER 38

Recent developments of the Sternheimer-GW method — •MARTIN SCHLIPF<sup>1</sup>, HENRY LAMBERT<sup>1,2</sup>, and FELICIANO GIUSTINO<sup>1</sup> — <sup>1</sup>Department of Materials, University of Oxford, Parks Road, Oxford OX1 3PH, United Kingdom — <sup>2</sup>Department of Physics, King's College London, London WC2R 2LS, United Kingdom

The GW many-body perturbation method is an important tool to access accurate band gaps from first principles calculations. The conventional implementation determines the Green's function and the screened Coulomb interaction by a summation over unoccupied states tedious to converge. Giustino et al. demonstrated an alternative method to obtain these quantities by solving Sternheimer linear response equations. In this poster, we present our Sternheimer-GW software implemented in the Quantum Espresso framework and highlight some recent advances regarding the precision and stability of the method. We present our results for a small set of semiconducting materials and compare these to results obtained with conventional GW codes. We illustrate on selected examples the complete frequency dependent self energy, which is a natural product of the Sternheimer-GW method, and can be directly compared to experimental angle-resolved photoemission spectroscopy (ARPES) experiments.

#### O 13.8 Mon 17:00 GER 38

**Calculating electronic correlations in the CASTEP ab initio code** — •VINCENT SACKSTEDER<sup>1</sup> and EVGENY PLEKHANOV<sup>2</sup> — <sup>1</sup>W155 Wilson Building, Royal Holloway University of London, Egham Hill, Egham, TW20 0EX, — <sup>2</sup>Kings College London

We present new DMFT and GW features in the CASTEP DFT code. These features are designed to provide more accurate treatment of correlations between localized orbitals, of electronic screening, and of excited states. In present benchmarks on Cerium Oxide, the gamma phase of Cerium, and Silicon. We discuss the calculation of atomic forces within the GW framework.

## O 13.9 Mon 17:15 GER 38

Efficient  $G_0W_0$  using localized basis sets: a benchmark for molecules — •PETER KOVAL<sup>1,2</sup>, MATHIAS PER LJUNGBERG<sup>1</sup>, and DANIEL SÁNCHEZ PORTAL<sup>1,2</sup> — <sup>1</sup>Donostia International Physic Center, San Sebastian, Spain — <sup>2</sup>Centro de Fisica de Materiales, San Sebastian, Spain

Electronic structure calculations within Hedin's GW approximation are becoming increasingly accessible to the community. In particular, as it has been shown earlier and we confirm by calculations using our **MBPT\_LCAO** package [1], the computational cost of the so-called  $G_0W_0$  can be made comparable to the cost of a regular Hartree-Fock calculation. In this work, we study the performance of our new  $G_0W_0$ implementation based on a contour deformation technique to reproduce the ionization potentials of all 117 closed-shell molecules belonging to the G2/97 test set, using a pseudo-potential starting point provided by the popular density-functional package **SIESTA** [2]. Moreover, the ionization potentials and electron affinities of a set of 24 acceptor molecules [3] are compared to experiment and to reference all-electron calculations.

http://mbpt-domiprod.wikidot.com;
Soler J. M., etal J. Phys.: Condens. Matter 14 (2002) 2745;
Knight J. W., etal J. Chem. Theory Comput., 12 (2016) 615.

# O 13.10 Mon 17:30 GER 38

A dynamic exchange correlation kernel derived from recent results for the homogeneous electron gas — •MARTIN PAN-HOLZER, MATTEO GATTI, and LUCIA REINING — Laboratoire des Solides Irradies UMR 7642, CNRS-CEA/DSM, Ecole Polytechnique, Palaiseau, France

Time-Dependent Density Functional Theory (TDDFT) is a method

of choice to calculate the dynamic structure factor of a wide range of materials. Even in the simplest Adiabatic Local Density Approximation (ALDA), plasmon spectra are generally well described. However, several shortcomings remain. In particular, the onset energy of the spectrum is underestimated [1], and dynamical effects such as lifetime damping and double plasmon excitations are absent [2].

In this work we investigate recent results for the dynamic response of the homogeneous electron gas (HEG)[3] to extract an exchange correlation kernel for TDDFT. In order to get an estimate of the validity of such an approach we compare our results for the dynamic kernel  $f_{xc}(q,\omega)$  for the HEG with different kernels and known exact properties. We implemented this kernel with the simplest connection between the HEG and the real material, the mean density approximation. We compare results on simple metals, such as Na and Al, with experiments and ALDA. In order to explore the validity of such an approach we also applied the kernel to Si.

- [1] G. Onida et al., Rev. Mod. Phys. 74, 601 (2002)
- [2] M. Cazzaniga et al., Phys. Rev. B 84, 075109 (2011)
- [3] H. M. Böhm et al., Phys. Rev. B 82, 224505 (2010)

O 13.11 Mon 17:45 GER 38 Benchmark calculations of the electronic structure for molecules from the second-Born self-energy - •Michael SCHÜLER<sup>1</sup> and YAROSLAV PAVLYUKH<sup>1,2</sup> — <sup>1</sup>Institut für Physik, Martin-Luther-Universität Halle-Wittenberg, 06099 Halle, Germany <sup>2</sup>Department of Physics and Research Center OPTIMAS, University of Kaiserslautern, P.O. Box 3049, 67653 Kaiserslautern, Germany The non-equilbrium Green's function (NEGF) formalism provides a state-of-the-art tool for modeling modern spectroscopic experiments. In particular, time-dependent problems can be treated based on the Kadanoff-Baym equations. The underlying approximation to the selfenergy has to be consistent with the treatment of the initial state as captured by the Matsubara formalism - in order to guarentee the basic conservation laws. One of simplest non-trivial approximation to the self-energy is the second-Born approximation (2BA), which has been employed in numerous time-dependent studies. Systematic tests on the accuracy of the 2BA for various molecules has, however, been lacking so far. In our contribution we fill this gap by benchmark calculations for the 2BA for small molecules from the well established G2 test set. We demonstrate that the accuracy of the 2BA for predicting ionization potentials and electron affinities can compete with accurate quantum chemistry methods such as the Møller-Plesset perturbation theory and the coupled-cluster method. We also apply our method to a class of larger molecules, the diamonoids, which are in the focus of recent experiments and theoretical studies.

O 13.12 Mon 18:00 GER 38 Performance of the GW approximation at reproducing key features in exact Kohn-Sham potentials — •JACK WETHERELL<sup>1</sup>, LEOPOLD TALIRZ<sup>1</sup>, MATT HODGSON<sup>2</sup>, and REX GODBY<sup>1</sup> — <sup>1</sup>University of York, York, United Kingdom — <sup>2</sup>Max Planck Institute of Microstructure Physics, Halle, Germany

One of the major goals of the GW method is to improve the accuracy of charge densities produced by density functional theory (DFT). In this work we test the applicability of one-shot GW from various DFT starting Kohn-Sham orbitals. Also we implement and test the fully self-consistent GW method. We test the applicability of these methods by using them to compute densities for simple model 1D systems from which the exact density can be obtained by the direct solution of the Schrodinger Equation. We choose a set of test systems that are either dominated by exchange or correlation, or contain non-local steps in the exact exchange-correlation potential. Also we analyse systems dominated by electronic interaction. We can also investigate how accurate the exchange-correlation potentials associated with the GW densities are, using our reverse-engineering algorithm.